

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Dee** §
Serial No. **09/894,378** § Group Art Unit: **2653**
Filed: **June 29, 2001** § Examiner: **Castro, Angel A.**
For: **Apparatus and Method of** §
Making a Reduced Sensitivity Spin §
Valve Sensor Apparatus in which a §
Flux Injection Efficiency is Reduced §

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: Board of Patent Appeals
and Interferences

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 1, 2004.

By:

Monica Gamez

SUPPLEMENTAL APPELLANT'S BRIEF (37 C.F.R. 1.192)

~~This brief is in furtherance of the Notice of Appeal, filed in this case on May 21, 2004.~~

The fees required under § 1.17(c), and any required petition for extension of time for filing this brief and fees therefore, are dealt with in the accompanying TRANSMITTAL OF APPEAL BRIEF.

This brief is transmitted in triplicate. (37 C.F.R. 1.192(a))

REAL PARTIES IN INTEREST

The real party in interest in this appeal is the following party: Storage Technology Corporation.

RELATED APPEALS AND INTERFERENCES

With respect to other appeals or interference's that will directly affect, or be directly affected by, or have a bearing on the Board's decision in the pending appeal, there are no such appeals or interference's.

STATUS OF CLAIMS

A. TOTAL NUMBER OF CLAIMS IN APPLICATION

Claims in the application are: 1-14.

B. STATUS OF ALL THE CLAIMS IN APPLICATION

1. Claims canceled: 1, 2, 8, and 9.
2. Claims withdrawn from consideration but not canceled: None.
3. Claims pending: 3-7, and 10-14.
4. Claims allowed: None.
5. Claims rejected: 3-7 and 10-14.

C. CLAIMS ON APPEAL

The claims on appeal are: 3-7, and 10-14.

STATUS OF AMENDMENTS

No amendments were made in response to the final Office action.

SUMMARY OF INVENTION

The present invention provides a reduced sensitivity spin valve head for magnetic tape applications. (page 6, lines 5-6.) In order to reduce sensitivity (page 24, lines 8-12), two spin valve sensors (page 24, lines 4-7; **Figure 11**, 1110 and 1150) share the flux generated by at least one flux guide (page 24, lines 5-6; **Figure 11**, 1130 and 1140).

ISSUES

1. Whether claims 3-7 and 10-14 are properly rejected under 35 USC 103(a) as unpatentable over Suzuki (USPN 6134078) in view of Cain et al. (USPN 5493467).

GROUPING OF CLAIMS

The claims stand or fall together.

ARGUMENT

Applicant's respectfully submit that the combination of Suzuki and Cain is not proper, and even if it were, it would not teach the claimed invention. Claim 3 is reproduced for discussion:

-
3. A spin valve sensor apparatus, comprising:
a first spin valve sensor;
a second spin valve sensor; and
at least one flux guide, wherein a flux generated by the at least one flux guide is shared between the first spin valve sensor and the second spin valve sensor to thereby

reduce a sensitivity of the spin valve sensor apparatus.

[Emphasis added.]

1. Suzuki refers to MR sensors, while the present invention refers to spin valve sensors. Cain also refers to spin valve sensors. Because MR sensors and spin valve sensors have order of magnitude difference in sensitivities, it would not be obvious to combine Suzuki and Cain to form the present invention.

On page 3 of the final Office action, Examiner admits that Suzuki deals with MR sensors and not spin valve sensors. Examiner states:

Suzuki does not specifically disclose that the MR sensors are spin valve sensors. Cain et al. discloses a yoke spin valve MR read head (figure 3). It would have been obvious to one of ordinary skill in the art at the time the invention was made to provide the reduced sensitivity sensor apparatus of Suzuki with the spin valve sensors as taught by Cain et al.

It is respectfully pointed out that MR sensors are intrinsically different from spin valve sensors, also known as giant magnetoresistive sensors (GMR sensors). The two classes of sensors are mutually exclusive, and MR sensors cannot be spin valve sensors. Hence, applicant respectfully submits that Suzuki does not "specifically disclose that the MR sensors are spin valve sensors" because MR sensors cannot be spin valve (*i.e.*, GMR) sensors.

Examiner argues that although Suzuki doesn't mention spin valve sensors, it is still obvious to combine Cain's teachings (which deal with spin valve sensors) with Suzuki's teachings. However, MR sensors, as taught by Suzuki, are of lower sensitivity than GMR or spin valve sensors, which are up to 20 times more sensitive. This difference is the source of the problem addressed by the present invention, and makes the combination proposed by Examiner not obvious.

The high sensitivity of spin valve sensors (such as those in Cain) creates a problem when spin valve sensors are used to read older media (such as the media ready by Suzuki's MR sensors)--*i.e.*, the spin valve sensors are too sensitive. Hence, the present invention addresses this problem by providing a reduced sensitivity spin valve sensor.

However, Suzuki, being only an MR sensor (which already has "reduced" sensitivity

compared to a spin valve sensor) and not the more sensitive spin valve sensors, has no need to reduce the sensitivity of its MR sensors to read legacy media--the MR sensors of Suzuki are already in the proper sensitivity range to read older, less densely stored data. So they don't incur the problem of too much sensitivity that spin valve sensors incur in those conditions. Hence, there would be no motive for one of ordinary skill in the art to modify the MR sensors of Suzuki as Examiner claims.

In arguing why it is obvious to combine the teaching of Suzuki with Cain, Examiner characterizes Suzuki at page 3, 4th paragraph of the final Office action as follows:

One of ordinary skill in the art would have been motivated to provide the reduced sensitivity sensor apparatus of Suzuki with the spin valve sensors as taught by Cain et al. as it would eliminate dependency on the direction of the sense current.

Applicant respectfully submits that, first, the apparatus of Suzuki is not a reduced sensitivity apparatus, it is only an MR sensor with full sensitivity relative to other MR sensors. It is only of less sensitivity when compared to the more recent spin valve sensors, which are of different design and are intended to read media of different storage density and therefore magnetic stripe size. The very problem addressed by the present invention goes to the heart of this difference--older MR sensors are unable to read newer media because they are not sensitive enough, while newer spin valve sensors are unable to read older media because they are too sensitive. The present application points to this issue at page 4, lines 3-18:

Generally, a variety of different signal flux levels, i.e. levels of the magnetic field generated by the magnetic tape media, can be produce from various data patterns recorded on a magnetic tape. For example, low density patterns present a larger magnetic flux to the spin valve sensor leading to higher signal amplitude than high density patterns which have a lower level of magnetic flux. A spin valve head is typically designed and optimized to read the high density patterns in order to have significant amplitude for signal detection. However, the high input flux from a low density pattern can drive a spin valve sensor designed for high density operation into non-linear portions of the spin valve response curve. This leads to readback distortions and may even cause the spin valve sensor to magnetically saturate.

Examiner's proposal to combine the two references essentially suggests we transform the MR sensor of Suzuki to the spin valve sensor of Cain while keeping the rest of Suzuki's apparatus. However, such a substitution would completely change the device of Suzuki. In short, one cannot merely substitute a spin valve sensor into the apparatus of Suzuki, which is designed to work with an MR sensor. That would transform the device of Suzuki into a spin valve sensor device, making the other parts of the apparatus (which Examiner cites against the present application) unnecessary and/or incompatible.

Second, the dependency on the direction of the sense current is a necessary trade off and not a strict advantage that would motivate combining the two references. One cannot have an MR sensor that lacks sense current direction dependency, because that is a property of spin valve sensors. Making such a substitution would fail because the remainder of the apparatus of Suzuki is designed to work with an MR sensor. Making the substitution proposed by Examiner would require substituting the entire teaching of Suzuki with that of Cain or another spin valve apparatus. Examiner cannot pick and choose elements that are not compatible with each other in order to piece together the elements of the present invention.

Such a substitution as proposed by Examiner would require radical modification of Suzuki because Suzuki is an MR sensor device, not a spin valve sensor device. "It is impermissible within the framework of section 103 to pick and choose from any one reference only so much of it as will support a given position, to the exclusion of other parts necessary to the full appreciation of what such reference fairly suggests to one of ordinary skill in the art." *In re Hedges*, 228 U.S.P.Q. 685, 687 (Fed. Cir. 1986).

Further, neither Suzuki nor Cain teaches or suggests a modification as proposed by Examiner. Even if such a modification were possible, which Applicants do not admit, the mere fact that a prior art reference can be readily modified does not make the modification obvious unless the prior art suggested the desirability of the modification. *In re Laskowski*, 871 F.2d 115, 10 U.S.P.Q.2d 1397 (Fed. Cir. 1989) and also see *In re Fritch*, 972 F.2d 1260, 23 U.S.P.Q.2d 1780 (Fed. Cir. 1992) and *In re Mills*, 916 F.2d 680, 16 U.S.P.Q.2d 1430 (Fed. Cir. 1993). The examiner may not merely state that the modification would have been obvious to one of ordinary skill in the art without pointing out in the prior art a suggestion of the desirability of the proposed modification.

In the present case, Examiner has cited nothing in the references suggesting the desirability of such a modification.

2. Neither device teaches a reduced sensitivity spin valve sensor. Suzuki teaches a full sensitivity MR sensor, while Cain teaches a full sensitivity spin valve sensor. Combining the two would not produce a reduced sensitivity spin valve sensor as claimed.

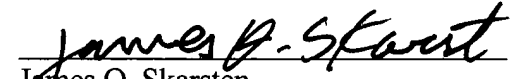
Even if the two references were properly combinable, which Applicant's do not agree, the combination would not produce the claimed invention. Suzuki teaches an MR sensor, and does not mention or describe reducing the sensitivity of the MR sensor. To the contrary, Suzuki is directed to producing a high sensitivity low distortion MR head. Suzuki addresses the problem of choosing between MR heads with a yoke (which moves them a slight distance from the media and thereby decreases sensitivity) and one disposed just above the media. The yoke style, while less sensitive, has advantages in that it is more reliable. Suzuki is aimed at retaining the reliability of the yoke while increasing the sensitivity to match that of the MR sensor disposed just above the media.

Hence, Suzuki is concerned not with reducing sensitivity, but with increasing it, and not in a spin valve sensor, but in a MR sensor. Suzuki does not teach or suggest a reduced sensitivity spin valve sensor.

Cain does teach a spin valve sensor apparatus, but Examiner only cites Cain to substitute wholesale the spin valve sensor of Cain into the apparatus of Suzuki. For the reasons described above, Applicants respectfully submit that such a substitution would not work. Further, Cain has no teaching or suggestion of reducing the sensitivity of the spin valve sensor. To the contrary, Cain teaches a high sensitivity spin valve sensor. For example, Cain's abstract states in the last sentence:

The signal strength of the yoke spin valve MR sensor is superior to an anisotropic MR sensor and is easier to fabricate.

Therefore, the rejection of claims 3-7 and 10-14 under 35 U.S.C. § 103 has been overcome. Favorable reconsideration of the claims is respectfully requested.


James O. Skarsten
Reg. No. 28,346
YEE & ASSOCIATES, P.C.
PO Box 802333
Dallas, TX 75380
(972) 385-8777

APPENDIX OF CLAIMS

1-2. (Canceled)

3. (Original) A spin valve sensor apparatus, comprising:

a first spin valve sensor;

a second spin valve sensor; and

at least one flux guide, wherein a flux generated by the at least one flux guide is shared between the first spin valve sensor and the second spin valve sensor to thereby reduce a sensitivity of the spin valve sensor apparatus.

4. (Original) The spin valve sensor apparatus of claim 3, wherein the sharing of the flux between the first spin valve sensor and the second spin valve sensor reduces a flux injection efficiency of the spin valve sensor apparatus.

5. (Original) The spin valve sensor apparatus of claim 3, wherein the at least one flux guide includes a top flux guide and a bottom flux guide.

6. (Original) The spin valve sensor apparatus of claim 5, wherein the top flux guide is positioned between the first spin valve sensor and the second spin valve sensor, and the bottom flux guide is positioned nearest a side of the second spin valve sensor that is furthest away from the first spin valve sensor.

7. (Original) The spin valve sensor apparatus of claim 3, further comprising planars, wherein the second spin valve sensor is positioned on the planars.

8-9. (Canceled)

10. (Original) A method of making a spin valve sensor apparatus, comprising:

providing a first spin valve sensor;

providing a second spin valve sensor; and

providing at least one flux guide, wherein a flux generated by the at least one flux guide is shared between the first spin valve sensor and the second spin valve sensor to thereby reduce a sensitivity of the spin valve sensor apparatus.

11. (Original) The method of making a spin valve sensor apparatus of claim 10, wherein the sharing of the flux between the first spin valve sensor and the second spin valve sensor reduces a flux injection efficiency of the spin valve sensor apparatus.

12. (Original) The method of making a spin valve sensor apparatus of claim 10, wherein providing the at least one flux guide includes providing a top flux guide and a bottom flux guide.

13. (Original) The method of making a spin valve sensor apparatus of claim 12, wherein providing the top flux guide includes positioning the top flux guide between the first spin valve sensor and the second spin valve sensor, and providing the bottom flux guide includes

positioning the bottom flux guide nearest a side of the second spin valve sensor that is furthest away from the first spin valve sensor.

14. (Previously Presented) The method of making a spin valve sensor apparatus of claim 13, further comprising providing planars, wherein providing the second spin valve sensor includes positioning the second spin valve sensor on the planars.

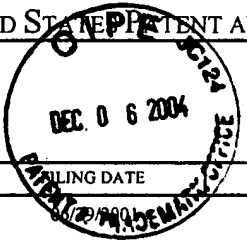
BS

copy



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov



APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/894,378	11/01/2004	Richard Henry Dee	2001-021-TAP	5547

Wayne P. Bailey
Storage Technology Corporation
One StorageTek Drive
Louisville, CO 80028-4309

EXAMINER

ART UNIT	PAPER NUMBER
----------	--------------

DATE MAILED: 11/01/2004

11
RECEIVED
DEC 09 2004
Technology Center 2600

Please find below and/or attached an Office communication concerning this application or proceeding.

CLIENT DOCKET INFORMATION

Client Name 2001-021-TAP

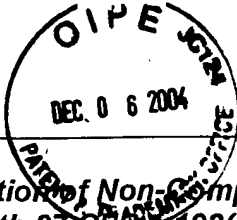
File No. 12.01.04

DATE 12.01.04 ACTION DOCKETED Resp. to Notice of Non-Compliance

Docketed By dw Date 11.11.04

Checked By lu Date 11/11/04

Attorney Initials J Date 11/11/04



**Notification of Non-Compliance
With 37 CFR 1.192(c)**

Application No.

09/894,378

Examiner

Angel A Castro

Applicant(s)

DEE, RICHARD HENRY

Art Unit

2653

RECEIVED

DEC 09 2004

--The MAILING DATE of this communication appears on the cover sheet with the correspondence.

Technology Center 2600

The Appeal Brief filed on 26 July 2004 is defective for failure to comply with one or more provisions of 37 CFR 1.192(c). See MPEP § 1206.

To avoid dismissal of the appeal, applicant must file IN TRIPLICATE a complete new brief in compliance with 37 CFR 1.192(c) within the longest of any of the following three **TIME PERIODS**: (1) **ONE MONTH** or **THIRTY DAYS** from the mailing date of this Notification, whichever is longer; (2) **TWO MONTHS** from the date of the notice of appeal; or (3) within the period for reply to the action from which this appeal was taken. **EXTENSIONS OF THESE TIME PERIODS MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☐ The brief does not contain the items required under 37 CFR 1.192(c), or the items are not under the proper heading or in the proper order.
2. ☐ The brief does not contain a statement of the status of all claims, pending or cancelled, or does not identify the appealed claims (37 CFR 1.192(c)(3)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 1.192(c)(4)).
4. ☒ The brief does not contain a concise explanation of the claimed invention, referring to the specification by page and line number and to the drawing, if any, by reference characters (37 CFR 1.192(c)(5)).
5. ☐ The brief does not contain a concise statement of the issues presented for review (37 CFR 1.192(c)(6)).
6. ☐ A single ground of rejection has been applied to two or more claims in this application, and
 - (a) ☐ the brief omits the statement required by 37 CFR 1.192(c)(7) that one or more claims do not stand or fall together, yet presents arguments in support thereof in the argument section of the brief.
 - (b) ☐ the brief includes the statement required by 37 CFR 1.192(c)(7) that one or more claims do not stand or fall together, yet does not present arguments in support thereof in the argument section of the brief.
7. ☐ The brief does not present an argument under a separate heading for each issue on appeal (37 CFR 1.192(c)(8)).
8. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 1.192(c)(9)).
9. ☐ Other (including any explanation in support of the above items):

Angel Castro C